

3-26-04

Express Mail No. EV 346 810 728 US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Eric WALTHER

Confirmation No. 4096

Application No: 10/668,790

Group Art Unit: 1621

Filing Date: September 22, 2003

Examiner:

For: PROCESS FOR THE OXIDATION OF
UNSATURATED ALCOHOLS

Atty. Docket No.: 81455-5620

REQUEST FOR CORRECTION OF FILING RECEIPT

Office of Initial Patent Examination
Customer Service Center
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

A filing receipt for the above-identified application has been received and the following correction is respectfully requested:

Please correct the typographical error in filing date of the Domestic Priority Data as follows: --PCT/IB03/00139 filed January 15, 2003--

Copies of the incorrect filing receipt, cover page of corresponding publication no. WO 03/064362 A1, along with a copy of the inventors' declaration are enclosed herewith in support of this change. No fee is believed to be due for this submission. Please charge any required fees to WINSTON & STRAWN LLP Deposit Account No. 50-1814.

Respectfully submitted,

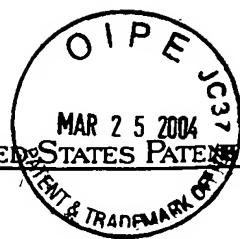
Date: 3-25-04


Allan A. Fanucci (Reg. No. 30,256)

Enclosures

**WINSTON & STRAWN
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NY:809226.1



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/668,790	09/22/2003	1621	750	81455-5620		14	1

CONFIRMATION NO. 4096

28765
 WINSTON & STRAWN
 PATENT DEPARTMENT
 1400 L STREET, N.W.
 WASHINGTON, DC 20005-3502

FILING RECEIPT



OC000000011508885

Date Mailed: 12/17/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Eric Walther, Geneva, SWITZERLAND;

Domestic Priority data as claimed by applicant

This application is a CON of PCT/IB03/00139 01/05/2003

15 ← Please correct

Foreign Applications

INTERNATIONAL BUREAU OF THE WORLD INTELL PCT/IB02/00304 01/29/2002

If Required, Foreign Filing License Granted: 12/16/2003

Projected Publication Date: 03/25/2004

Non-Publication Request: No

Early Publication Request: No

Title

Process for the oxidation of unsaturated alcohols

RECEIVED

DEC 19 2003

Preliminary ClassWINSTON & STRAWN
DOCKETING DEPT. (D.C.)

338312

56 70 - PCT - T 25

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
7 August 2003 (07.08.2003)

PCT

(10) International Publication Number
WO 03/064362 A1

(51) International Patent Classification⁷: C07C 45/30,
47/232, 47/21, 47/225, 49/603

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(21) International Application Number: PCT/IB03/00139

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(22) International Filing Date: 15 January 2003 (15.01.2003)

(25) Filing Language: English

(81) Designated States (national): JP, US.

(26) Publication Language: English

(84) Designated States (regional): European patent (AT, BE,
BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU,
IE, IT, LU, MC, NL, PT, SE, SI, SK, TR).

(30) Priority Data:
PCT/IB02/00304 29 January 2002 (29.01.2002) IB

Published:

— with international search report

(72) Inventor; and

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(75) Inventor/Applicant (for US only): WALTHER, Eric



WO 03/064362 A1

(54) Title: A PROCESS FOR THE OXIDATION OF UNSATURATED ALCOHOLS

(57) Abstract: The present invention relates to the field of organic synthesis and more precisely to a process for the synthesis of an unsaturated aldehyde or ketone by oxidation of the corresponding alcohol. Said oxidation is characterized in that it is performed by means of a hypochlorite salt and a catalytic amount of a N-(2,2,6,6-tetraalkyl-4-piperidinyl-N-oxyl)-2-amino-1,3,5-triazine derivative, preferably a N-oxyl derivative of the polymers known under the trademark Chimassorb[®] 944 or 2020.

DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

A PROCESS FOR THE OXIDATION OF UNSATURATED ALCOHOLS

and for which a patent application:

is attached hereto and includes amendment(s) filed on _____ *(if applicable)*
 was filed in the United States on _____ as Application No. _____ *(declaration*
not accompanying application) with amendment(s) filed on _____ *(if applicable)*
 was filed as PCT International Application No. PCT/IB03/00139 on 15 January 2003 and was amended under PCT Article 19
 on .. *(if applicable)*

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
PCT/IB02/00304	PCT	29 January 2002	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

PROVISIONAL APPLICATION NUMBER	FILING DATE

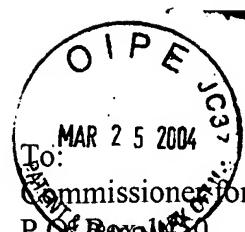
I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION N°	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

* for use only when the application is assigned to a company, partnership or other organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2	FULL NAME OF INVENTOR	LAST NAME WALTHER	FIRST NAME Eric	MIDDLE NAME	
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	SIGNATURE OF INVENTOR 201 <i>E. Walther</i>			DATE <i>Sept. 12, 2003</i>	
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3	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY	ZIP CODE
	SIGNATURE OF INVENTOR 203			DATE	



EXPRESS MAIL LIST

To:
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The following items listed below are being filed herewith with the USPTO on **March 25, 2004**

Express Mail No. **EV 346 810 728 US**

Attorney Docket No.	Appln. Serial No./ Patent No.	Items - Documents filed on March 25, 2004
81455-5620	10/668,790	Request for Correction of Filing Receipt; Copy of Incorrect Filing Receipt; Cover Page of Publication No. WO 03/064362 A1; Copy of Executed Inventors' Declaration

Please acknowledge receipt of these items as received by returning the enclosed postcards with the date of receipt of March 25, 2004

NY:846497.15